OMB APPROVAL **UNITED STATES** FORM D NUMBER: 3235-0076 SECURITIES AND EXCHANGE COMMISSION ril 30, 2008 Washington, D.C. 20549 FORM D NOTICE OF SALE OF SECURITIES APR 1 8 2007 PURSUANT TO REGULATION D. SECTION 4(6) AND/OR UNIFORM LIMITED OFFERING EXEMPTION Date Receive Name of Offering (check if this is an amendment and name has changed, and indicate change.) Series B Financing Filing Under (Check box(es) that apply): ☐ Rule 504 ☐ Rule 505 □ Rule 506 Section 4(6) Type of Filing: ☐ New Filing A. BASIC IDENTIFICATION DATA 1. Enter the information requested about the issuer (Check if this is an amendment and name has changed, and indicate change.) Name of Issuer Pluromed, Inc. Address of Executive Offices (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) 25-K Olympia Avenue, Woburn, MA 01801 (781)932-0574 Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) (if different from Executive Offices) Brief Description of Business

Medical devices

Type of Business Organization Corporation business trust

limited partnership, already formed limited partnership, to be formed

Year

other (please specify)

6

Actual ☐ Estimated

Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State: CN for Canada; FN for other foreign jurisdiction)

Month 1 4 1

0

GENERAL INSTRUCTIONS

Actual or Estimated Date of Incorporation or Organization:

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those state that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

l of 9

A. BASIC IDENTIFICATION DATA 2. Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Beneficial Owner Executive Officer □ Director General and/or Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) Jean-Marie Vogel Business or Residence Address (Number and Street, City, State, Zip Code) 25-K Olympia Avenue, Woburn, MA 01801 Check Box(es) that Apply: Beneficial Owner □ Director General and/or Promoter ■ Executive Officer Managing Partner Full Name (Last name first, if individual) Alexander Schwarz **Business or Residence Address** (Number and Street, City, State, Zip Code) 25-K Olympia Avenue, Woburn, MA 01801 General and/or Executive Officer □ Director Check Box(es) that Apply: ☐ Promoter ■ Beneficial Owner Managing Partner Full Name (Last name first, if individual) John M. Barberich Business or Residence Address (Number and Street, City, State, Zip Code) 50 Duck Pond Drive, Groton, MA 01450 Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer ☐ Director General and/or Managing Partner Full Name (Last name first, if individual) Timothy J. Barberich **Business or Residence Address** (Number and Street, City, State, Zip Code) 40 Elm Street, Concord, MA 01742 General and/or Check Box(es) that Apply: ☐ Promoter Beneficial Owner Executive Officer Director Managing Partner Full Name (Last name first, if individual) **Bradley Resources Company** (Number and Street, City, State, Zip Code) Business or Residence Address Attn: James R. McGoogan, 765 SW Wisper Bay, Palm City, FL 34990 Executive Officer □ Director ☐ General and/or Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner Managing Partner Full Name (Last name first, if individual) Donald C. Freeman, Jr., PhD (Number and Street, City, State, Zip Code) **Business or Residence Address** 25-K Olympia Avenue, Woburn, MA 01801 Beneficial Owner Executive Officer □ Director General and/or Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) George W. Holbrook, Jr. Business or Residence Address (Number and Street, City, State, Zip Code) 25-K Olympia Avenue, Woburn, MA 01801 General and/or Beneficial Owner Executive Officer Director Check Box(es) that Apply: Promoter Managing Partner Full Name (Last name first, if individual) (Number and Street, City, State, Zip Code) Business or Residence Address

				B. INF	ORMATIC	N ABOU	r offeri	NG				
1. Has the is	ssuer sold, o	r does the is	suer intend	to sell, to	non-accredi	ted investo	rs in this of	fering?				No ⊠
			Ans	wer also in	Appendix,	Column 2,	if filing und	ier ULOE.				
2. What is the	he minimum	investmen	t that will b	e accepted	from any in	dividual?					\$_50,00	0
												No
3. Does the											☒	
If a person or states, a broker o	on or simila n to be listed list the name or dealer, you	r remunerat l is an assoc e of the brol u may set fo	ion for soli ciated perso ker or deale orth the info	citation of point of a citation of a citatio	purchasers i of a broker (than five (5	in connection dealer re) persons to	on with sale gistered wi o be listed a	s of securit th the SEC	ies in the o and/or with	ffering. a state		.
Full Name (I	ast name fi	rst, if indivi	dual)									
Business or l	Residence A	ddress (Nu	mber and S	treet, City,	State, Zip C	Code)						
Name of Ass	ociated Bro	ker or Deal	er									
States in Wh	iah Dunaan I	Lintard 11mg C	Calinitad on	Intondo to	Caliait Dura	hasana						
	'All State" c					nasers						All States
[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	(HI)	[ID]
[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]
Full Name (I	Last name fi	rst, if indivi	dual)									
Business or	Residence A	ddress (Nu	mber and S	treet, City,	State, Zip C	Code)						
Name of Ass	ociated Bro	ker or Deal	er									
States in Wh	ich Person I 'All State" o										П	All States
[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	 [HI]	[ID]
[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT]	[NE]	[NV]	[NH]	[IN]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]
Full Name (I	ast name fi	rst, if indivi	dual)									
Business or I	Residence A	ddress (Nu	mber and S	treet, City,	State, Zip C	Code)		· · · · ·				
		·			•	·						
Name of Ass	sociated Bro	ker or Deal	 ег									
States in Wh	ich Person I	Listed Has S	Solicited or	Intends to	Solicit Purc	hasers						
(Check '	'All State" o	or check ind	ividual Sta	tes)								All States
[AL]	[AK]	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	(HI)	[ID]
[IL]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
(MT)	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	(PA)

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1. Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
Type of Security	Aggregate Offering Price	Amount Already Sold
Debt	_	
Equity		\$2,277,758.96
☐ Common ☑ Preferred		
Convertible Securities (including warrants)	\$	
Partnership Interests		
Other (Specify)	\$	\$
Total	\$	\$
Answer also in Appendix, Column 3, if filing under ULOE.		
2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number Investors	Aggregate Dollar Amount of Purchases
Accredited Investors	29	\$2,277,758.96
Non-accredited Investors		\$
Total (for filings under Rule 504 only)		\$
Answer also in Appendix, Column 4, if filing under ULOE.		
3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.		
Type of offering	Type of	Dollar Amount
Rule 505	Security	Sold \$
Regulation A		\$
Rule 504		\$
Total		\$
4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		-
Transfer Agent's Fees		□ \$
Printing and Engraving Costs		
Legal Fees		S 8,000
Accounting Fees		□ s
Engineering Fees		_
Sales Commissions (specify finders' fees separately)		_ ·
Other Expenses (identify) Filing Fees		\$_1,900
Total		S 9,900

C. OFFERING	PRICE, NUMBER OF INVESTORS, EXPENSES AND USE	OF PROCEEDS	
I and total expenses furnished in	aggregate offering price given in response to Part C - Question response to Part C - Question 4.a. This difference is the ssuer."		\$ <u>3,990,100</u>
used for each of the purposes show estimate and check the box to the le	djusted gross proceeds to the issuer used or proposed to be n. If the amount for any purpose is not known, furnish an eft of the estimate. The total of the payments listed must equal ssuer set forth in response to Part C - Question 4.b above.		
the adjusted gross proceeds to the is	souch set forth in response to Part C - Question 4.0 above.	Payments to Officers, Directors, & Affiliates	Payments To Others
Salaries and fees		□ \$	□ s
Purchase of real estate		□ \$	□ \$
Purchase, rental or leasing and	installation of machinery and equipment	\$	□ \$
Construction or leasing of plant	t buildings and facilities	. 🗆 \$	\$
offering that may be used in ex-	(including the value of securities involved in this change for the assets or securities of another	□ s	□s
•		□ \$	□ s
• •		□ s	
- ·		□ \$	
• • • • • • • • • • • • • • • • • • • •		□ \$	\$ 3,990,100
Total Payments Listed (column	totals added)	⊠ s _	3,990,100
	D. FEDERAL SIGNATURE		
following signature constitutes an u	onice to be signed by the undersigned duly authorized person. It undertaking by the issuer to furnish to the U.S. Securities and Exceed by the issuer to any non-accredited investor pursuant to paragra	hange Commission, u	pon written reques
Issuer (Print or Type)	Signature	Date	
Pluromed, Inc.	Jules	April 4, 2007	
Name of Signer (Print or Type)	Title of Signer (Print or Type)		
Jean-Marie Vogel	President		

- ATTENTION -

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

E. STATE SIGNATURE									
	presently subject to any of the disqualification provisions	Yes No							
	See Appendix, Column 5, for state response.								
2. The undersigned issuer hereby undertakes t Form D (17 CFR 239,500) at such times a	o furnish to any state administrator of any state in which s required by state law.	this notice is filed, a notice on							
3. The undersigned issuer hereby undertakes t issuer to offerees.	o furnish to the state administrators, upon written reques	t, information furnished by the							
limited Offering Exemption (ULOE) of the	ssuer is familiar with the conditions that must be satisfied a state in which this notice is filed and understands that the shing that these conditions have been satisfied.								
The issuer has read this notification and knows undersigned duly authorized person.	s the contents to be true and has duly caused this notice to	o be signed on its behalf by the							
Issuer (Print or Type)	Signature	Date							
Pluromed, Inc.	July -	April 4, 2007							
Name of Signer (Print or Type)	Title of Signer (Print or Type)								
Jean-Marie Vogel	President								

Instruction

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

APPENDIX

1		2	3		4			Disqua	5 Disqualification	
	to non-	d to sell accredited rs in State B-Item 1	Type of security and aggregate offering price offered in state (Part C Item I)		Type of inve amount purchas (Part C-Ite	under State ULOE (if yes, attach explanation of waiver granted) (Part E-Item 1)				
State	Yes	No	Series B Convertible Preferred Stock	Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No	
AL										
AK										
ΑZ										
AR										
CA		X	\$4,000,000	1	\$107,201.92	0	\$0		X	
СО										
CT				***						
DE										
DC										
FL	,	X	\$4,000,000	2	\$500,801.28	0	\$0		X	
GA				-						
HI										
ID										
IL										
IN										
ΙA										
KS										
KY										
LA										
ME										
MD										
MA		X	\$4,000,000	8	\$625,007.04	0	\$0		X	
MI										
MN										
MS										

APPENDIX

1		2	3		4				5 Disqualification under State ULOE	
	to non-	d to sell accredited rs in State B-Item 1	Type of security and aggregate offering price offered in state (Part C Item 1)		Type of inves amount purchase (Part C-Ite	(if yes, attach explanation of waiver granted) (Part E-Item 1)				
State	Yes	No	Series B Convertible Preferred Stock	Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No	
МО										
МТ										
NE										
NV										
NH		X	\$4,000,000	5	\$194,241.60	0	\$0	•	X	
NJ										
NM										
NY										
NC										
ND										
ОН										
OK										
OR										
PA										
RI										
SC		Х	\$4,000,000	1	\$100,000.32	0	\$0		X	
SD										
TN										
TX										
UT										
VT		X	\$4,000,000	4	\$159,280.32	0	\$0		X	
VA										
WA		X	\$4,000,000	1	\$100,000.32	0	\$0		X	
WV										
WI										

				A	PPENDIX				
1	2		3		•	5 Disqualification			
to non-accredited investors in State (Part B-Item 1 offered			Type of security and aggregate offering price offered in state (Part C Item 1)			under State ULOE (if yes, attach explanation of waiver granted) (Part E-Item 1)			
State	Yes	No	Series B Convertible Preferred Stock	Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No
WY									
PR								<u></u>	
Intern'l.		X	\$4,000,000	7	\$491,226.16	0	\$0		X